

# Business Distribution Scheme of Clearstream Fund Centre S.A., Luxembourg

## effective 30 December 2022

Neil Wise Chief Client Officer	Philippe Seyll Chief Executive Officer	Marco Steeg Chief Financial Officer	Sonia Dribek-Pfleger Chief Control Officer / CRO	Harold Defays Chief Operating Officer / Chief Product Officer	Kevin Hayes Chief Information Officer
Deputy: Philippe Seyll	Deputy: Neil Wise	Deputy: Sonia Dribek-Pfleger	Deputy: Marco Steeg	Deputy: Kevin Hayes	Deputy: Harold Defays
Sales & Business Development	Human Resources	Financial Accounting & Controlling	Risk Management / BCM / Insurance	Global Operations <sup>4)</sup>	IT Run <sup>6)</sup>
Client Services, Account Administration & Documentation	Legal	Information Security	Compliance <sup>3)</sup>	Product Development <sup>5)</sup>	IT Change
	Internal Audit	Control Assurance & Monitoring		Product Tax	
	Treasury	Outsourcing & Contract Management <sup>2)</sup>			
	Strategy & Corporate Planning <sup>1)</sup>	Corporate Tax			
	Communication & Marketing	Credit			
	Facility Management / Purchasing				
Front Office	Front Office	Back Office	Back Office	Back Office	Back Office

1) **Strategy & Corporate Planning** includes: Regulatory Governance/ Boards & Committees, Strategic Planning, Revenue Planning, Regulatory Strategy

2) **Outsourcing & Contract Management** includes: Intercompany Organization, Outsourcing (incl. Outsourcing coordinator and Outsourcing Business Surveillance function)

3) **Compliance** includes: AML / CTF Responsibility

4) **Global Operations** includes: Global Funds Operations, Global Funds Operations Asset Services, Connectivity Services, Securities Pricing, Australia Operations, Switzerland Operations, Treasury Middle and Back Office, Reconciliation & Incident Management

5) **Product Development** includes Network Management

6) **IT Run** includes: 1<sup>st</sup> LoD for Information Security & Corporate Security