

# Clearstream Release November 2022

## **Release Information**

## Clearstream Release November 2022 - Release Information

12 May 2022

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## Foreword

On Monday 21 November 2022, Clearstream<sup>1</sup> will implement a number of changes related to the mandatory standards release. Impacted media channels include Xact via SWIFT, Xact File Transfer and Xact Web Portal.

This document consolidates and updates the details of these changes to enable customers to make any required changes.

The respective documentation and guides associated with the impacted products and services will be updated to reflect the enhancements in due course.

**Note:** In some cases, this document will not contain the details of enhancements where a separate announcement has been published to address the needs of a particular product or service.

## Further information

For further information about our products and services, please visit [www.clearstream.com](http://www.clearstream.com) or contact Clearstream Client Services or your Relationship Officer.

You will find the relevant contact details at [www.clearstream.com](http://www.clearstream.com), under Contacts & Client Services.

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1. Clearstream refers collectively to Clearstream Banking, S.A. (CBL), Clearstream Banking AG (CBF) and LuxCSD.

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# 1. SWIFT Standards release 2022

Changes to the messages are described in more detail below.

Changes apply to all formats (ISO, PDF, XLS and XML) across all connectivity channels (Xact via SWIFT, Xact File Transfer and Xact Web Portal).

This section describes the changes to the ISO messages. All these changes are reflected as relevant in all corresponding reports in PDF, as well as Xact Web Portal. When displayed in PDF reports or Xact Web Portal lists and detailed views, the ISO fields are expressed as decodes.

Where relevant, this section distinguishes between different scenarios depending on the services used by customers, these are defined as follows:

If no usage type is defined, it is applicable to CBL accounts, CBF accounts using the OneClearstream service and LuxCSD accounts.

CBL usage: Applicable to CBL, CBF 6-series accounts and LuxCSD 43xxx accounts.

CBF usage: Applicable to CBF accounts using the OneClearstream service.

CBL/LuxCSD usage: Applicable to CBL, CBF 6-series accounts and all LuxCSD accounts.

CBF/LuxCSD usage: Applicable to CBF, CBF 6-series accounts and all LuxCSD accounts.

# Clearstream Release Information

## Asset services

### MT564 - Corporate Action Notification

Field	Description	Usage
<b>Sequence D - Corporate Action Details</b>		
:22F::ADDB	New indicator INCP (Incentive Premium Payment)	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.  If field :22F::ADDB//INCP is present, then in sequence A field :22F::CAEV//CONS must be present
:22F::OFFE	New code NDIS (Dissenter's Rights Not Applicable)	Indicates that Dissenter's rights are not applicable for this offer.  If field :22F::OFFE//DISS is present, then in sequence D field :22F::OFFE//NDIS is not allowed
:90a::FBIP	New qualifier FBIP - First Increment Price	Option A,B,E or L  This field contains the acceptable price increment used for submitting a bid.
:90a::LBIP	New qualifier LBIP - Last Bid Increment Price	Option A,B,E or L  This field contains the acceptable price increment used for submitting a bid.
:90a::MAXP	Qualifier MAXP - Maximum Price.	Option A,B,E or L  This field contains the Maximum or cap price at which a holder can bid, for example on a Dutch auction offer.  New network validated rule on qualifiers MINP, MAXP in field 90a and on qualifier BIDI in field 92a in sequences D and E. To prevent presence of two MINP and MAXP prices and two BIDI rates simultaneously in different sequences.
:90a::MINP	Qualifier MINP - Minimum Price	Option A,B,E or L  This field contains the Minimum or floor price at which a holder can bid, for example on a Dutch auction offer. New network validated rule on qualifiers MINP, MAXP in field 90a and on qualifier BIDI in field 92a in sequences D and E. To prevent presence of two MINP and MAXP prices and two BIDI rates simultaneously in different sequences.

# Clearstream Release Information

Field	Description	Usage
<b>Sequence E - Corporate Action Options</b>		
:22F::OFFE	New code NDIS (Dissenter's Rights Not Applicable)	Indicates that Dissenter's rights are not applicable for this offer. If field :22F::OFFE//DISS is present, then in sequence D field :22F::OFFE//NDIS is not allowed
:92a::BIDI	Qualifier BIDI - Bid Interval Rate for Dutch Auction.	Option A,F,K or P This field contains the acceptable price increment used for submitting a bid. New network validated rule on qualifiers MINP, MAXP in field 90a and on qualifier BIDI in field 92a in sequences D and E. To prevent presence of two MINP and MAXP prices and two BIDI rates simultaneously in different sequences.
:90a::FBIP	New qualifier FBIP - First Increment Price	Option A,B,E or L This field contains the acceptable price increment used for submitting a bid.
:90a::LBIP	New qualifier LBIP - Last Bid Increment Price	Option A,B,E or L This field contains the acceptable price increment used for submitting a bid.
:90a::MAXP	Qualifier MAXP - Maximum Price.	Option A,B,E or L This field contains the Maximum or cap price at which a holder can bid, for example on a Dutch auction offer. New network validated rule on qualifiers MINP, MAXP in field :90a:: and on qualifier BIDI in field :92a:: in sequences D and E. To prevent presence of two MINP and MAXP prices and two BIDI rates simultaneously in different sequences.
:90a::MINP	Qualifier MINP - Minimum Price	Option A,B,E or L This field contains the Minimum or floor price at which a holder can bid, for example on a Dutch auction offer. New network validated rule on qualifiers MINP, MAXP in field 90a and on qualifier BIDI in field 92a in sequences D and E. To prevent presence of two MINP and MAXP prices and two BIDI rates simultaneously in different sequences.

# Clearstream Release Information

Field	Description	Usage
<b>Subsequence E1 - Securities Movements</b>		
:22F::OTYP	Qualifier OTYP – Other type of income	Option F This field contains the type of income to be used under another tax regime
<b>Subsequence E2 -Cash Movements</b>		
:22F::OTYP	Qualifier OTYP – Other type of income	Option F This field contains the type of income to be used under another tax regime

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## MT564 - Income Pre-Advice Report (IPAR)

Field	Description	Usage
<b>Sequence D - Corporate Action Details</b>		
:22F::ADDB	New indicator INCP (Incentive Premium Payment)	<p>The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.</p> <p>If field :22F::ADDB//INCP is present, then in sequence A field :22F::CAEV//CONS must be present</p>
:22F::OFFE	New code NDIS (Dissenter's Rights Not Applicable)	<p>Indicates that Dissenter's rights are not applicable for this offer.</p> <p>If field :22F::OFFE//DISS is present, then in sequence D field :22F::OFFE//NDIS is not allowed</p>
:92a::BIDI	Qualifier BIDI - Bid Interval Rate for Dutch Auction.	<p>Option A,F,K or P</p> <p>This field contains the acceptable price increment used for submitting a bid.</p> <p>New network validated rule on qualifiers MINP, MAXP in field :90a:: and on qualifier BIDI in field :92a:: in sequences D and E.</p> <p>To prevent presence of two MINP and MAXP prices and two BIDI rates simultaneously in different sequences.</p>
:90a::FBIP	New qualifier FBIP - First Increment Price	<p>Option A,B,E or L</p> <p>This field contains the acceptable price increment used for submitting a bid.</p>
:90a::LBIP	New qualifier LBIP - Last Bid Increment Price	<p>Option A,B,E or L</p> <p>This field contains the acceptable price increment used for submitting a bid.</p>
:90a::MAXP	Qualifier MAXP - Maximum Price.	<p>Option A,B,E or L</p> <p>This field contains the Maximum or cap price at which a holder can bid, for example on a Dutch auction offer.</p> <p>New network validated rule on qualifiers MINP, MAXP in field 90a and on qualifier BIDI in field 92a in sequences D and E. To prevent presence of two MINP and MAXP prices and two BIDI rates simultaneously in different sequences.</p>

# Clearstream Release Information

Field	Description	Usage
:90a::MINP	Qualifier MINP - Minimum Price	Option A,B,E or L  This field contains the Minimum or floor price at which a holder can bid, for example on a Dutch auction offer. New network validated rule on qualifiers MINP, MAXP in field 90a and on qualifier BIDI in field 92a in sequences D and E. To prevent presence of two MINP and MAXP prices and two BIDI rates simultaneously in different sequences.
<b>Sequence E - Corporate Action Options</b>		
:22F::OFFE	New code NDIS (Dissenter's Rights Not Applicable)	Indicates that Dissenter's rights are not applicable for this offer. If field :22F::OFFE//DISS is present, then in sequence D field :22F::OFFE//NDIS is not allowed
:92a::BIDI	Qualifier BIDI - Bid Interval Rate for Dutch Auction.	Option A,F,K or P  This field contains the acceptable price increment used for submitting a bid. New network validated rule on qualifiers MINP, MAXP in field 90a and on qualifier BIDI in field 92a in sequences D and E. To prevent presence of two MINP and MAXP prices and two BIDI rates simultaneously in different sequences.
:90a::FBIP	New qualifier FBIP - First Increment Price	Option A,B,E or L  This field contains the acceptable price increment used for submitting a bid.
:90a::LBIP	New qualifier LBIP - Last Bid Increment Price	Option A,B,E or L  This field contains the acceptable price increment used for submitting a bid.
:90a::MAXP	Qualifier MAXP - Maximum Price.	Option A,B,E or L  This field contains the Maximum or cap price at which a holder can bid, for example on a Dutch auction offer. New network validated rule on qualifiers MINP, MAXP in field :90a:: and on qualifier BIDI in field :92a:: in sequences D and E. To prevent presence of two MINP and MAXP prices and two BIDI rates simultaneously in different sequences.

## Clearstream Release Information

Field	Description	Usage
:90a::MINP	Qualifier MINP - Minimum Price	Option A,B,E or L  This field contains the Minimum or floor price at which a holder can bid, for example on a Dutch auction offer.  New network validated rule on qualifiers MINP, MAXP in field 90a and on qualifier BIDI in field 92a in sequences D and E. To prevent presence of two MINP and MAXP prices and two BIDI rates simultaneously in different sequences.
<b>Subsequence E1 -Securities Movements</b>		
:22F::OTYP	Qualifier OTYP – Other type of income	Option F  This field contains the type of income to be used under another tax regime
<b>Subsequence E2 - Cash Movements</b>		
:22F::OTYP	Qualifier OTYP – Other type of income	Option F  This field contains the type of income to be used under another tax regime

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# Clearstream Release Information

## MT564 - Claim and Reversal Advice (CRA)

Field	Description	Usage
<b>Sequence D - Corporate Action Details</b>		
:22F::ADDB	New indicator INCP (Incentive Premium Payment)	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.  If field :22F::ADDB//INCP is present, then in sequence A field :22F::CAEV//CONS must be present
:22F::OFFE	New code NDIS (Dissenter's Rights Not Applicable)	Indicates that Dissenter's rights are not applicable for this offer.  If field :22F::OFFE//DISS is present, then in sequence D field :22F::OFFE//NDIS is not allowed
:90a::FBIP	New qualifier FBIP - First Increment Price	Option A,B,E or L  This field contains the acceptable price increment used for submitting a bid.
:90a::LBIP	New qualifier LBIP - Last Bid Increment Price	Option A,B,E or L  This field contains the acceptable price increment used for submitting a bid.
:90a::MAXP	Qualifier MAXP - Maximum Price.	Option A,B,E or L  This field contains the Maximum or cap price at which a holder can bid, for example on a Dutch auction offer.  New network validated rule on qualifiers MINP, MAXP in field 90a and on qualifier BIDI in field 92a in sequences D and E. To prevent presence of two MINP and MAXP prices and two BIDI rates simultaneously in different sequences.
:90a::MINP	Qualifier MINP - Minimum Price	Option A,B,E or L  This field contains the Minimum or floor price at which a holder can bid, for example on a Dutch auction offer. New network validated rule on qualifiers MINP, MAXP in field 90a and on qualifier BIDI in field 92a in sequences D and E. To prevent presence of two MINP and MAXP prices and two BIDI rates simultaneously in different sequences.

## Clearstream Release Information

Field	Description	Usage
<b>Sequence E - Corporate Action Options</b>		
:22F::OFFE	New code NDIS (Dissenter's Rights Not Applicable)	Indicates that Dissenter's rights are not applicable for this offer. If field :22F::OFFE//DISS is present, then in sequence D field :22F::OFFE//NDIS is not allowed
:92a::BIDI	Qualifier BIDI - Bid Interval Rate for Dutch Auction.	Option A,F,K or P This field contains the acceptable price increment used for submitting a bid. New network validated rule on qualifiers MINP, MAXP in field 90a and on qualifier BIDI in field 92a in sequences D and E. To prevent presence of two MINP and MAXP prices and two BIDI rates simultaneously in different sequences.
:90a::FBIP	New qualifier FBIP - First Increment Price	Option A,B,E or L This field contains the acceptable price increment used for submitting a bid.
:90a::LBIP	New qualifier LBIP - Last Bid Increment Price	Option A,B,E or L This field contains the acceptable price increment used for submitting a bid.
:90a::MAXP	Qualifier MAXP - Maximum Price.	Option A,B,E or L This field contains the Maximum or cap price at which a holder can bid, for example on a Dutch auction offer. New network validated rule on qualifiers MINP, MAXP in field :90a:: and on qualifier BIDI in field :92a:: in sequences D and E. To prevent presence of two MINP and MAXP prices and two BIDI rates simultaneously in different sequences.
:90a::MINP	Qualifier MINP - Minimum Price	Option A,B,E or L This field contains the Minimum or floor price at which a holder can bid, for example on a Dutch auction offer. New network validated rule on qualifiers MINP, MAXP in field 90a and on qualifier BIDI in field 92a in sequences D and E. To prevent presence of two MINP and MAXP prices and two BIDI rates simultaneously in different sequences.

# Clearstream Release Information

Field	Description	Usage
<b>Subsequence E1 - Securities Movements</b>		
:22F::OTYP	Qualifier OTYP – Other type of income	This field contains the type of income to be used under another tax regime
<b>Subsequence E2 -Cash Movements</b>		
:22F::OTYP	Qualifier OTYP – Other type of income	This field contains the type of income to be used under another tax regime

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## MT566 - Corporate Action Confirmation

Field	Description	Usage
<b>Sequence C - Corporate Action Details</b>		
:22F::ADDB	New indicator INCP (Incentive Premium Payment)	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.  If field :22F::ADDB//INCP is present, then in sequence A field :22F::CAEV//CONS must be present
<b>Subsequence D1- Securities Movements</b>		
:22F::OTYP	Qualifier OTYP – Other type of income	This field contains the type of income to be used under another tax regime
<b>Subsequence D2-Cash Movements</b>		
:22F::OTYP	Qualifier OTYP – Other type of income	This field contains the type of income to be used under another tax regime

## MT567 - Corporate Action Status and Processing Advice

Field	Description	Usage
<b>Subsequence A2a - Reason</b>		
:24B::REAS//	New reason codes: IPAW (Invalid Paperwork)  REJA (Rejected By Issuer Agent)	Required paperwork is invalid, incomplete, or missing.  Instruction rejected by the issuer agent.  Can be used in combination with the following qualifiers: :24B::PEND//IPAW :24B::REJT//IPAW :24B::REJT//REJA

# Clearstream Release Information

## Settlement and reconciliation

### MT542 - MT543 - Settlement Instructions

Field	Description	Usage
<b>Subsequence A1 - Linkages</b>		
::22F: STCO	Removal of Indicator code INTS (Internalised Settlement)	Only for MT542/543

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### MT544 - MT547 - Settlement Confirmations

Field	Description	Usage
<b>Subsequence E3 - Amounts</b>		
:20C::CMIT//	New qualifier CMIT - Counterparty Market Infrastructure Transaction Identification	CBF and LuxCSD: Identification of a counterparty transaction assigned by a market infrastructure other than a central securities depository, for example, TARGET2-Securities.  Allows the indication of an MITI reference on both client/counterparty side.

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## MT548 - Settlement Status and Processing Advice MT548 - Vestima Transfer Service Status Advice

Field	Description	Usage
<b>Subsequence A1 - Linkages</b>		
:20C::CMIT//	New qualifier CMIT - Counterparty Market Infrastructure Transaction Identification	CBF and LuxCSD: Identification of a counterparty transaction assigned by a market infrastructure other than a central securities depository, for example, TARGET2-Securities.  Allows the indication of an MITI reference on both client/counterparty side.
<b>Subsequence A2a - Reason</b>		
:24B::PEND//PATD	New status reason code PATD (Partial Differ) - Disagreement on partial indicator preventing partial settlement.	CBL To indicate a mismatch on the partial settlement indicator
:24B::PENF//PATD	New status reason code PATD (Partial Differ) - Disagreement on partial indicator preventing partial settlement.	CBL To indicate a mismatch on the partial settlement indicator.
:24B::PEND//PREL	New status reason code PREL (Partially Released) - Transaction has been partially released.	CBL, CBF and LuxCSD Additional information to report a partial release request.
:24B:: PENF//PREL	New status reason code PREL (Partially Released) - Transaction has been partially released.	CBL, CBF and LuxCSD Additional information to report a partial release request.
<b>Sequence B - Settlement Transaction Details</b>		
:36B::PREL	New qualifier PREL (Partially Released)	CBL, CBF and LuxCSD To indicate quantity of financial instrument to be released

## Securities statements

### MT536 - Statement of Transactions report

Field	Description	Usage
<b>Subsequence B1a1 - Linkages</b>		
:20C::CMIT//	New qualifier CMIT (Counterparty Market Infrastructure Transaction Identification)	<p>CBF and LuxCSD: Identification of a counterparty transaction assigned by a market infrastructure other than a central securities depository, for example, TARGET2-Securities.</p> <p>Allows the indication of an MITI reference on both client/counterparty side.</p>

### MT537 - Statement of Pending Transactions report and MT537 - Vestima Transfer Service Statement of Pending Transactions

Field	Description	Usage
<b>Subsequence B1 - Reason</b>		
:24B::PEND//PATD	New status reason code PATD (Partial Differ) - Disagreement on partial indicator preventing partial settlement.	CBL To indicate a mismatch on the partial settlement indicator
:24B::PENF//PATD	New status reason code PATD (Partial Differ) - Disagreement on partial indicator preventing partial settlement.	CBL To indicate a mismatch on the partial settlement indicator.
:24B::PEND//PREL	New status reason code PREL (Partially Released) - Transaction has been partially released.	CBL, CBF and LuxCSD Additional information to report a partial release request.
:24B:: PENF//PREL	New status reason code PREL (Partially Released) - Transaction has been partially released.	CBL, CBF and LuxCSD Additional information to report a partial release request.
<b>Subsequence B2a - Linkages</b>		
:20C::CMIT//	New qualifier CMIT (Counterparty Market Infrastructure Transaction Identification)	<p>CBF and LuxCSD: Identification of a counterparty transaction assigned by a market infrastructure other than a central securities depository, for example, TARGET2-Securities.</p> <p>Allows the indication of an MITI reference on both client/counterparty side.</p>

# Clearstream Release Information

Field	Description	Usage
<b>Subsequence B2b - Transaction Details</b>		
:36B::PREL	New qualifier PREL (Partially Released)	CBL, CBF and LuxCSD To indicate quantity of financial instrument to be released
<b>Subsequence C1 - Linkages</b>		
:20C::CMIT//	New qualifier CMIT (Counterparty Market Infrastructure Transaction Identification)	CBF and LuxCSD: Identification of a counterparty transaction assigned by a market infrastructure other than a central securities depository, for example, TARGET2-Securities.  Allows the indication of an MITI reference on both client/counterparty side.
<b>Subsequence C2 - Transaction Details</b>		
:36B::PREL	New qualifier PREL (Partially Released)	CBL, CBF and LuxCSD To indicate quantity of financial instrument to be released
<b>Subsequence C3a - Reason</b>		
:24B::PEND//PATD	New status reason code PATD (Partial Differ) - Disagreement on partial indicator preventing partial settlement.	CBL To indicate a mismatch on the partial settlement indicator
:24B::PENF//PATD	New status reason code PATD (Partial Differ) - Disagreement on partial indicator preventing partial settlement.	CBL To indicate a mismatch on the partial settlement indicator.
:24B::PEND//PREL	New status reason code PREL (Partially Released) - Transaction has been partially released.	CBL, CBF and LuxCSD Additional information to report a partial release request.
:24B::PENF//PREL	New status reason code PREL (Partially Released) - Transaction has been partially released.	CBL, CBF and LuxCSD Additional information to report a partial release request.

# Clearstream Release Information

## Triparty Collateral Management

### MT527 - Triparty Collateral Instruction

Field	Description	Usage
<b>Sequence A - General Information</b>		
:22H::COLA//UDMS	New exposure type code UDMS - Uncleared Derivative Margin Segregation.  Relates to uncleared derivative margin segregation for variation or initial margin.	The code words UDMS, OTCD, and CBCO will also be accepted for TCMS contracts. If used, the values will be returned in any MT558 messages. SLOA value will continue to be reported on the MT569.

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