

Clearstream Fund Centre – Distribution Support Services

Product information
for distribution partners

The global solution for fund distribution support

Key benefits

Greater efficiency

Benefit from a single agreement covering access to a global fund universe with centralised commission management

Focus on your core business

Maximise your strategy and efforts in distribution through the externalisation of operational functions

Streamlined processes

Rely on streamlined processes around fund data and documents provision, agreement maintenance and commission payment

Reduction of legal, compliance and operational risks

Our value added services support you in minimising the risk of product mis-selling and complying with the latest market requirements

Focus on strategic decision-making and client outcomes

Access an extensive fund database, highly sophisticated analysis, research and assessment methods from independent research partners

Regulatory developments and growing requirements for greater product governance along the distribution supply chain have increased the call for more data, transparency and standardisation in fund distribution. With its distribution support service offering, Clearstream Fund Centre is supporting both fund distributors and fund providers to face these extra challenges.



Fund distributors are looking for streamlined processes around distribution agreement and commission management.

At a glance, the Clearstream Fund Centre distribution support product range will cover access to a wide range of funds, distribution agreement and distribution commission management, compliance and regulatory support, fund research,

as well as enhanced data and documents exchange between fund providers and fund distributors.

Driven by market demand, Clearstream and Fondcenter are combining their unrivalled expertise around investment funds, creating Clearstream Fund Centre, an industry leading global fund distribution support platform.

Suite of Fund Distribution Support Services

Clearstream Fund Centre offers a range of fund distribution support services built on its strong expertise and on a global network of fund providers.

Fund Distribution Support Services

Fund provider agreement & commission management	Fund data & Documents	Fund eligibility & Compliance	Fund Compass
<ul style="list-style-type: none"> - Access to a global fund range and fund providers - Maintaining and monitoring agreements regarding regulatory requirements - Commission calculation, reconciliation, collection and payment - Dedicated, local and active relationship management - Continuous coverage extension 	<ul style="list-style-type: none"> - Static, dynamic and regulatory fund data - Fund documentation - Up-to-date fund database - Market insights and trends based on Clearstream Fund Centre platform data (e.g. asset flows, product trends) 	<ul style="list-style-type: none"> - Facilitation of the distributor oversight for Know Your Distributor (KYD) - Assisting of the provision of AML documents between distributors and fund providers - Fund matching to client profile 	<ul style="list-style-type: none"> - Intuitive and user-friendly web-based application - Investment advisory support tools - Fund recommendation and analysis - Fund comparison

Distribution agreement maintenance

Clearstream Fund Centre maintains a single distribution agreement with leading large scale and boutique fund providers, in more than 25 markets globally. The constant expansion of the fund provider network, following distributor demand, ensures a vast and competitive global coverage. As one of the largest fund platforms and infrastructures in the world, Clearstream Fund Centre's negotiation position is unique, unlocking attractive commission rates and service terms for its customers.

Fund data and Documents

Distributors using Clearstream Fund Centre's platform have access to more than 950 fund data fields as well as documents from more than 70,000

different funds in 25 different jurisdictions and in different languages. The fund documentation offering covers, among others, prospectuses and KIIDs. Clearstream Fund Centre's fund library is always up to date with static, dynamic and regulatory data thanks to the close and efficient collaboration with fund data providers.

Commission management

Clearstream Fund Centre shields distributors from the market complexities of commission management. The calculation, collection and reconciliation of commissions from fund providers is centralised and Clearstream Fund Centre executes a single payment to distributors. Distribution commissions received from fund

providers are paid on a quarterly basis to distributors – accompanied by comprehensive and transparent reporting.

Compliance and Regulatory support

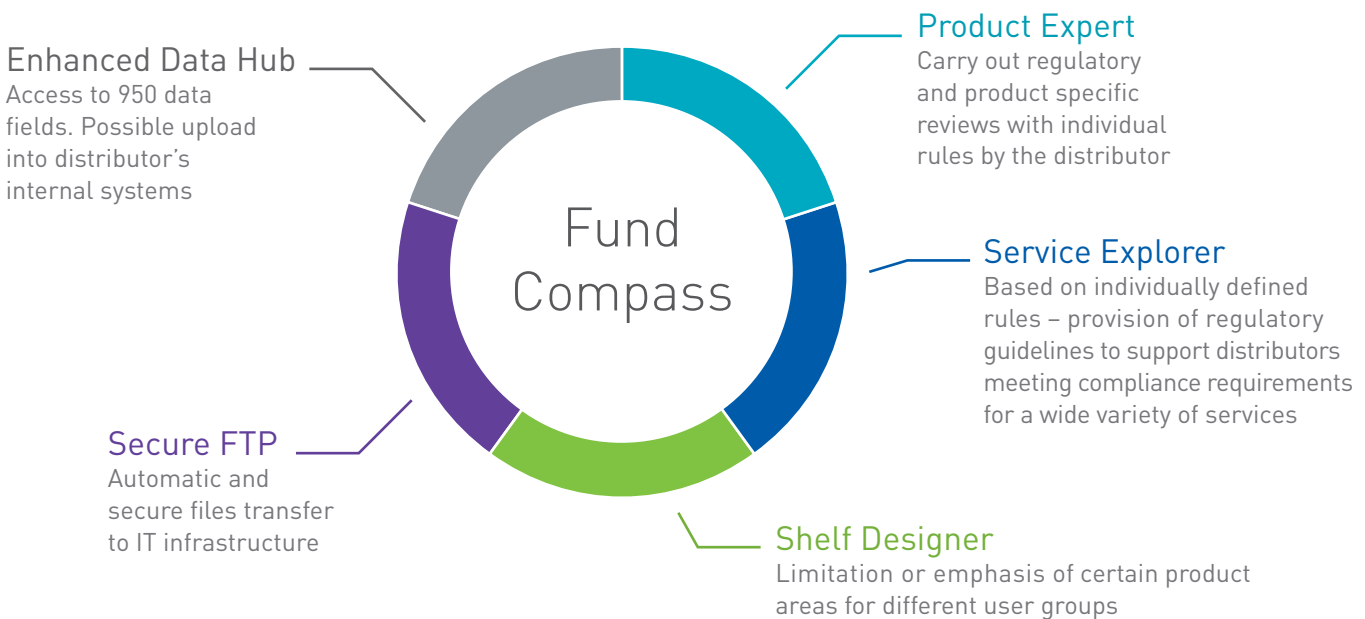
Clearstream Fund Centre handles fund providers' queries and supports fund providers by conducting mandatory checks on the distributors and collecting required documents from them.







Fund Compass

Fund Compass, the web-based distribution and fund information platform application, offers distributors an intuitive and user-friendly access to fund data, documents and added-value services. Fund Compass is a modular tool that distributors can customise based on individual needs.

Fund Compass – web-based distribution and fund information application tailored to your needs

Fund Compass, the new web-based distribution and fund information application, offers distributors an intuitive and user-friendly access to fund data, documents and added value services. Fund Compass is a modular tool that distributors can customise based on individual needs.



	Efficient and user-friendly fund search engine		Data hub with over 950 data fields per unit class
	Static, dynamic and regulatory data as well as documents		Modular structure
	Fund comparison and analysis		Hotline and personal on-site advice

Fund Spotlight – research tailored to your needs

Fund Spotlight guides distributors through Fund Centre's extensive fund universe and helps them to make a more informed investment decision. Fund Spotlight is delivered in collaboration with Morningstar and allows distributors to benefit from Morningstar's independent investment research and fund selection experience.

Based on customers' needs, Morningstar generates a list of eligible funds, considering whether there are any advantages from an active or passive product in each segment. Sustainability criteria can also play a role in this selection process.

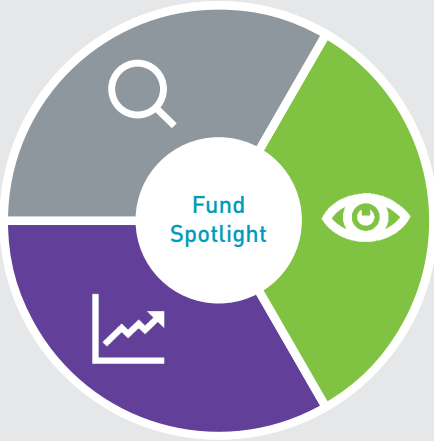
Fund Spotlight features

Tailor-made fund investment offer
Comprehensive lists of funds – evaluated on a quarterly basis – that can be customised around the following criteria:

- asset allocation
- portfolio construction
- investment objectives

Independent research partner
To support distributors in finding the best investment solution, Fund Spotlight provides access to

- an extensive fund database,
- highly sophisticated analysis,
- research and assessment methods,
- contacts with fund providers around the world.



Added value for end clients
Distributors receive a clear description of what to expect from a fund.

This information is key to decide which share class to select and whether a passive/index-tracking solution or an active fund is the best fit for the respective investor profile.

Clarity AI – covering all sustainability aspects of a fund

Clarity AI is an end-to-end technology solution that helps optimise the societal impact of investment portfolios. Clarity AI “scores” the underlying assets of a fund according to its proprietary methodologies and then calculates an aggregated score at share class level. Clarity AI offers six modules to cover all sustainability perspectives of an investment fund. These modules are fully integrated into Fund Compass.

Clarity AI solution offering at a glance

ESG risk	ESG impacts	Exposures	Climate module	UN Sustainable Development Goals	Impact highlights
Assesses the financial materiality of environmental, social and governance (ESG) issues related to how companies and governments operate; and how these ESG issues could potentially affect future financial performance	Assesses how a company’s operations create positive or negative impacts in our environment and society	Analyses and monitors organisations’ revenue exposure to 10 controversial activities such as tobacco, alcohol and gambling	Deep dives into climate related issues, analysing organisations’ and mixed asset portfolios’ carbon footprint and scores such as current score and reduction commitment score	Contributes to maximising sustainable growth by understanding how your investments have the potential to create measurable impact for people and the planet	Reports the environmental as well as social impact footprint of your portfolio in a simple and intuitive way and compares it to a benchmark

Contact information

For further information, please contact your Relationship Manager or our Investment Fund Services team:

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